



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

| | |
|------------------------|-------------------------|
| Application Number | 10/781,804 |
| Filing Date | March 19, 2003 |
| First Named Inventor | Neil EKLUND et al. |
| Art Unit | 3692 |
| Examiner Name | Heather DEEGLE Ed Baird |
| Attorney Docket Number | 52493.000363 |

Sheet 1 of 1

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

| *Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | TRANSLATION | |
|-----------------------|-------------|---|--------------------------|--------------------------|
| | | | YES | NO |
| | | Reilly, F.K., et al., Investment Analysis and Portfolio Management, 6th Edition, Dryden, 2000 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Fabozzi, F.J., Fixed income analysis for the chartered financial analyst program, Fabozzi Associates, 2000 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Indraneel, D., et al., A Closer Look at Drawbacks of Minimizing Weighted Sums of Objectives for Pareto Set Generation in Multicriteria Optimization Problems Structural Optimization, 14(1), pp 63-69, 1997 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Schaffer, J.D., et al., Multi-objective Learning via Genetic Algorithms, Ninth International Joint Conference on Artificial Intelligence, pp 593-595, 1985 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Subbu, Raj, et al., Modeling and Convergence Analysis of Distributed Coevolutionary Algorithms, IEEE International Congress on Evolutionary Computation, pp 1276-1283, 2000 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Greene, F., A method for utilizing diploid/dominance in genetic search, First IEEE International Conference on Evolutionary Computation, v1, 439. IEEE Service Center, Piscataway, N.J., pp 171-176, 1994 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | In book "Foundations of Genetic Algorithms" D. Goldberg and K. Deb, A comparison of selection schemes used in genetic algorithms. In "Foundations of Genetic Algorithms," Rawlins, G. (Ed.), 69. M. Kaufmann Publishers, San Mateo, Calif. 69-93, 1991 (select pages from book, including paper Abstract) | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Coello, C., et al., MOSES: A multiobjective optimization tool for engineering design, Engineering Optimization, 31:337-368, 1999 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Horn, J., Multicriterion Decision Making, Handbook of Evolutionary Computation, (Back T, Fogel DB and Michalewicz Z, eds.), pp F1.9:1-F1.9:15, IOP Publication Ltd and Oxford University Press, 1997 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Subbu, Raj, et al., Modeling and Convergence Analysis of Distributed Coevolutionary Algorithms, IEEE Transactions on Systems, Man, and Cybernetics - Part B: Cybernetics, Vol. 34, No. 2, April 2004 | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |

EXAMINER SIGNATURE /Edward Baird/

DATE CONSIDERED 04/23/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.B./